STATE OF NEW MEXICO
DEPARTMENT OF FINANCE AND ADMINISTRATION
OFFICE OF THE SECRETARY
BATAAN MEMORIAL BUILDING, SUITE 180 SANTA FE, NM 87501
(505) 827-4985 FAX (505) 827-4984

SUSANA MARTINEZ
GOVERNOR

THOMAS E. CLIFFORD, Ph.D
CABINET SECRETARY

VIA E-MAIL

April 15, 2013

Steven G. Farber
P.O. Box 2473
323 Staab Street
Santa Fe, NM 87504-2473

Re: Request to Inspect Public Records

Dear Mr. Farber,

On April 10, the New Mexico Department of Finance and Administration (DFA) received your request to inspect records.

Regarding operations, programs, current and past staff members and employees of the New Mexico Finance Authority (NMFA), as well as audits and polices, you requested numerous records, including e-mails, text messages and other writings and recordings, including:

- Those reflecting correspondence within DFA;
- Between DFA and the Office of the Governor;
- Between DFA and the Regulation and Licensing Department (RLD)
- Between DFA and the Office of the State Auditor (OSA)
- Between DFA and members of the NMFA Board of Directors;
- Between DFA and the staff of the NMFA;
- Between DFA and the Legislative Finance Committee (LFC)
- Between DFA and the NMFA Legislative Oversight Committee
- Between DFA and staff of PricewaterhouseCoopers, LLP
- Between DFA and staff of Clifton Gunderson, LLP
- Between DFA and staff of REDW, LLC.

This correspondence is to advise that we need additional time to respond to your request. I will be in contact by April 25.

Sincerely,

Tim Korte
Records Custodian

PLAINTIFF'S EXHIBIT
4-1
www.legalstore.com No. 7002

Subj: **records request**
Date: 5/1/2013 4:39:49 P.M. Mountain Daylight Time
From: Tim.Korte@state.nm.us
To: SFarberLawOffice@aol.com

Mr. Farber,

Please accept my apologies. I had promised an update on your request by April 25. This was an oversight for which I am solely responsible.

Please see attached -- We will require additional time to collect the requested records.

Tim Korte
Public Information Officer & Records Custodian
Department of Finance and Administration
(505) 827-3881



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SUSANA MARTINEZ
GOVERNOR

THOMAS E. CLIFFORD, Ph.D
CABINET SECRETARY

VIA E-MAIL

May 29, 2013

Steven G. Farber
P.O. Box 2473
323 Staab Street
Santa Fe, NM 87504-2473

Re: Request to Inspect Public Records

Dear Mr. Farber,

On April 10, the New Mexico Department of Finance and Administration (DFA) received your request to inspect records.

Regarding operations, programs, current and past staff members and employees of the New Mexico Finance Authority (NMFA), as well as audits and polices, you requested numerous records, including e-mails, text messages and other writings and recordings, including:

- Those reflecting correspondence within DFA;
- Between DFA and the Office of the Governor;
- Between DFA and the Regulation and Licensing Department (RLD)
- Between DFA and the Office of the State Auditor (OSA)
- Between DFA and members of the NMFA Board of Directors;
- Between DFA and the staff of the NMFA;
- Between DFA and the Legislative Finance Committee (LFC)
- Between DFA and the NMFA Legislative Oversight Committee
- Between DFA and staff of PricewaterhouseCoopers, LLP
- Between DFA and staff of Clifton Gunderson, LLP
- Between DFA and staff of REDW, LLC.

On May 1, we determined that your request is burdensome. At that time, we advised you that we will require additional time to respond. I will provide the requested records or an update on our efforts by June 28.

Sincerely,

Tim Korte
Records Custodian

PLAINTIFF'S EXHIBIT
4-3
www.legistore.com No. 7002

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DEPARTMENT OF FINANCE AND ADMINISTRATION
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SUSANA MARTINEZ
GOVERNOR

THOMAS E. CLIFFORD, Ph.D
CABINET SECRETARY

VIA E-MAIL

June 26, 2013

Steven G. Farber
P.O. Box 2473
323 Staab Street
Santa Fe, NM 87504-2473

Re: Request to Inspect Public Records

Dear Mr. Farber,

On April 10, the New Mexico Department of Finance and Administration (DFA) received your request to inspect records.

Regarding operations, programs, current and past staff members and employees of the New Mexico Finance Authority (NMFA), as well as audits and policies, you requested numerous DFA records, including e-mails, text messages and other writings and recordings.

This correspondence is to update you on our efforts to retrieve the requested materials. At this time, we have collected several hundred pages of documents from DFA employees. We have now begun a review to determine if these documents are responsive to your request.

Please understand that we will need additional time to complete this review before we can fully respond to your request. I will be in contact by July 24.

Sincerely,

Tim Korte
Records Custodian

PLAINTIFF'S EXHIBIT
4-4

Subj: **records**
Date: 7/23/2013 2:46:14 P.M. Mountain Daylight Time
From: Tim.Korte@state.nm.us
To: SFarberLawOffice@aol.com

Mr. Farber, please see attached. Thank you.

Tim Korte
Public Information Officer & Records Custodian
Department of Finance and Administration
(505) 827-3881



State of New Mexico
Department of Finance & Administration
180 Bataan Memorial Building
Santa Fe, New Mexico 87501
Phone: (505) 827-4985
Fax: (505) 827-4984
www.nmdfa.state.nm.us

Susana Martinez
GOVERNOR

Thomas E. Clifford, Ph.D.
Cabinet Secretary

VIA E-MAIL

July 23, 2013

Steven G. Farber
P.O. Box 2473
Santa Fe, NM 87504-2473

Re: Request to Inspect Public Records

Dear Mr. Farber,

On April 10, the New Mexico Department of Finance and Administration (DFA) received your request to inspect records.

Regarding operations, programs, current and past staff members and employees of the New Mexico Finance Authority (NMFA), as well as audits and policies, you requested numerous DFA records, including e-mails, text messages and other writings and recordings.

This correspondence is to update you on DFA's efforts to retrieve the requested materials.

Our initial efforts have led to the recovery of several hundred pages of documents and, as time allows, we have begun a review to confirm responsiveness. We plan an additional legal review before release.

To allow inspection in as timely a manner as possible, DFA proposes to produce the responsive documents to you in batches as they become available.

We anticipate this approach will offer a more expedient platform to manage review by DFA's General Counsel than if we were to gather all responsive documents and then perform what would surely be a lengthy legal review.

DFA's so-called "rolling production" could start by the end of July. We anticipate we may need several more weeks or even months to fulfill your IPRA request because of the large volume of potentially responsive materials.

Please let me know if this proposal will satisfy your requirements or contact me at your convenience if you have questions or concerns.

Thank you for your consideration.

Sincerely,

Tim Korte
Records Custodian

